

# BOWEL CANCER QUALITY IMPROVEMENT MONITORING REPORT UPDATE

Updated using 2017-2019 data

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### Acknowledgements

This report publishes quality performance indicator (QPI) data from the New Zealand Cancer Registry and the Ministry of Health's national data collections for patients diagnosed with bowel cancer in Aotearoa New Zealand from 2017 to 2019.

The report is being released by Te Aho o Te Kahu, Cancer Control Agency (Te Aho o Te Kahu), in collaboration with the National Bowel Cancer Working Group. This builds on the work previously done by the Ministry of Health to identify and report on bowel cancer QPIs.

The development group acknowledges that each data point reflects an individual or cluster of patients and that each diagnosis of bowel cancer will have significantly affected the patient and their whānau/family. The group acknowledge all of those involved.

### **Authors**

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### **EXECUTIVE SUMMARY**

This report presents the second release of results of the investigations into the use of the Ministry of Health's (the Ministry's) national collections to calculate quality performance indicators (QPIs) for bowel cancer.

The primary audience for this report is those who deliver care to people with bowel cancer and manage the delivery of health services.

The aims of the report are to measure the quality of care and outcomes for people with bowel cancer in Aotearoa New Zealand and to provide information for ongoing quality improvement.

The report presents data for seven QPIs. Six of these were originally calculated and reported on in the first bowel cancer QPI monitoring report in 2019 (Ministry of Health 2019b).

The seven QPIs reported on in this report are generally accepted as measures of good bowel cancer care. The QPI that differs from the 2019 report (abdominoperineal resection) has been chosen to replace one of the original QPIs (stoma-free survival) because data challenges made it impossible to recalculate the original QPI.

The report compares the variation in these measures between district health boards (DHBs). It also presents change over time (between the 2019 calculations and the 2022 calculations) at a national level.

Geographic variation in services and outcomes can be seen with all indicators. For some indicators, there are also variations in access and outcomes for people belonging to different ethnic groups and ages.

Further investigation of the QPI results at the DHB level is needed to understand the variation between DHBs, particularly for those DHBs presenting as outliers, and to undertake quality improvement activities to reduce the variation. The results of further investigations will identify local opportunities to reduce inequalities, improve health services and care pathways and validate and improve local data collections.

### 1 KEY FINDINGS

This section summarises the key findings. We have grouped the indicator results and recommendations under the following four headings: equity, care pathway, surgical care and rectal cancer.

### 1.1 Equity

Māori are more likely than European/Other to be diagnosed with bowel cancer following presentation to an emergency department (ED), undergo emergency surgery and undergo an abdominoperineal resection (APR) for rectal cancer.

For rectal cancer treatment, the proportion of Māori patients who had surgery alone was considerably lower compared with European/Other. Conversely, Māori patients were more likely to have radiotherapy in combination with surgery.

Māori appear less likely to have 12 or more lymph nodes pathologically examined at the time of colon cancer surgery.

The proportion of Pacific peoples diagnosed following an ED presentation also remains high as is the case with people living in areas of high deprivation.

Pacific peoples also have higher proportions of emergency surgery compared with European/Other.

Inequities in the routes to diagnosis and emergency surgery indicators are likely to reflect inequities in pre-hospital processes, including access to primary health care, and the presence of comorbidities (that may not be optimised or able to be optimised before surgery). We note that these, in turn, likely reflect differential access to the social determinants of health, such as education and employment, driven by a legacy of colonisation and racism (Te Aho o Te Kahu 2020).

It is recommended that district health boards (DHBs) prioritise the QPIs that highlight inequities, particularly for Māori and Pacific peoples.

### 1.2 Care pathway

Between 2017 and 2019, there was an increase in diagnoses of bowel cancer following screening (from 2.8 percent to 10.1 percent), which aligns with the rollout of the National Bowel Screening Programme, with a concurrent reduction in diagnosis following referral to clinic. However, the proportion of diagnoses following ED presentation did not appear to change, which is possibly a timing effect – a change in this QPI as a result of the bowel screening programme will possibly be evident in future calculations.

Similar to the previous report, people younger than 50 years or 75 years and older, women, Pacific peoples, Māori and those living in areas of high social deprivation were more likely to be diagnosed after presenting at an ED. This may reflect inequities in the diagnostic pathways and should be a priority area for DHBs. DHBs that are outliers should further investigate their data and the potential reasons for this variance compared with other DHBs.

### 1.3 Surgical care

Between 2017 and 2019, the 90-day post-operative mortality rate showed a downward annual trend (primarily in elective surgery, which experienced an annual decrease of 11 percent). Mortality rates remain higher for Māori compared with European/Other. There remains considerable variation between DHBs, although no DHB mortality rates are above the 95 percent confidence limits.

The proportion of emergency resections performed for bowel cancer in Aotearoa New Zealand is high compared with comparable countries and may contribute to poorer cancer outcomes. The proportion is highest for Māori and Pacific peoples, contributing to inequities in outcomes as well as probably being a reflection of inequities in other aspects of the patient pathway. This is particularly important to further understand considering the higher mortality rate seen with emergency surgery. It is unclear why there appears to have been an increase in the proportion of emergency surgeries for Pacific peoples with bowel cancer. DHBs should prioritise investigating and improving the emergency surgery QPI.

The median length of stay in hospital for people with bowel cancer following major resection was seven days with no change from the 2013-2016 time period. This report, compared with the previous report, has seen the median length of stay reduce for men and for patients 60 years and over. This indicator will continue to provide a useful monitor of overall post-operative care.

The proportion of people with 12 or more lymph nodes examined pathologically continues to increase, which is promising. However, a disparity remains for Māori. The higher proportions of emergency surgery for Māori may indirectly contribute to this result; however, further investigation at the DHB level is required.



### 1.4 Rectal cancer

The data suggest decreasing use of long-course radiotherapy (LCRT) over time. For this QPI, there was also a persistently lower proportion of rectal cancer management by surgery alone for Māori and a wide variation in practice across DHBs.

Among people who underwent major surgery for rectal cancer, 21.6 percent had an APR. There was wide variation in the proportion of APRs performed across DHBs, which warrants investigation at the local level.

### 2 INTRODUCTION

### 2.1 Background

Bowel cancer is a leading cause of illness, disability and death in Aotearoa New Zealand.

During 2017 and 2018, the Ministry of Health (the Ministry) and the National Bowel Cancer Working Group (NBCWG) collaborated to develop a set of proposed quality performance indicators (QPIs) for bowel cancer.

The proposed QPIs were selected to measure performance and drive quality improvement in bowel cancer diagnosis and treatment services in Aotearoa New Zealand. In some instances, the indicators were not able to be measured using data available in national data collections. The process identified areas where national data improvement is required (for example, on stage and grade of cancer) to enable future measurement at a national level.

The first Bowel Cancer Quality Improvement Report, using data from 2013–2016, was published in March 2019 (Ministry of Health 2019b).

In December 2019, Te Aho o Te Kahu was set up to provide national leadership for, and oversight of, cancer control in Aotearoa New Zealand. Te Aho o Te Kahu took over the cancer QPI programme of work from the Ministry.

Te Aho o Te Kahu has prepared this report in collaboration with the NBCWG. The report presents the second release of results for the bowel cancer QPIs for which robust national data is available. The report uses data from 2017-2019 and presents QPIs that are agreed measures of good care and primarily describes the variation in these measures between DHBs.

The primary audience for the report is those who deliver care to people with bowel cancer and manage the delivery of services.



### 2.2 Equity

In Aotearoa New Zealand, people have health differences that are not only avoidable but unfair and unjust. Equity recognises that people with different levels of advantage require different approaches and resources to get equitable health outcomes (Ministry of Health 2019a).

Māori currently experience a disproportionate and inequitable burden of mortality from bowel cancer. Addressing variations in the quality of cancer services provided around the country is pivotal to delivering equitable, high-quality care.

Internationally, QPIs are a recognised tool for identifying opportunities for quality improvement and addressing equity. By stratifying QPIs by ethnicity, Te Aho o Te Kahu and DHBs will be able to identify specific areas of inequity and develop quality improvement initiatives to address these and monitor progress over time.

### Te Tiriti o Waitangi

Te Tiriti o Waitangi (the Treaty of Waitangi) provides an imperative for the Crown to protect and promote the health and wellbeing of Māori, including responding to and meeting Māori health needs.

The Waitangi Tribunal Health Services and Outcomes Inquiry (Wai 2575) that was initiated in November 2016 commenced hearing all claims concerning grievances relating to health services and outcomes of national significance for Māori.

Given that Māori have the poorest overall health status in Aotearoa New Zealand and are significantly disadvantaged in terms of health inequities, it is essential that we ensure the rights and meet the needs of Māori people (Ministry of Health 2019b). From the initial hearings related to primary health care, the Waitangi Tribunal made several recommendations in accordance with the principles of tino rangatiratanga, equity, active protection, options and partnership.

The QPIs were developed with these factors in mind, and a partnership approach should be taken with respect to all quality improvement initiatives, both existing and yet to be developed.

### 2.3 Using this report

We present the indicator results in sections 4–6 of this report. We present the care pathway indicator in section 4, surgical care indicators in section 5 and indicators specific to rectal cancer in section 6. The sources of data for the indicators and the methods of analysis are explained in the updated *Bowel Cancer Quality Performance Indicator Descriptions* (2022) and the *Bowel Cancer Quality Performance Indicator Specifications* (2022), both of which are available on the Te Aho o Te Kahu website.

While DHBs had access to the provisional results of the previous report in October 2018, due to the timing of the release of that report in March 2019, there is overlap with the period covered in this report (2017–2019). Therefore, while changes in QPI results are noted, it is not assumed that the previous report and associated activity are the only influencers on the current numbers. This report provides a way of tracking progress that will be influenced by numerous factors and give service providers important information that will help them continue to improve their delivery of cancer care.



### 3 METHODS

For this report, we have calculated QPIs for people diagnosed with bowel cancer between the years 2017 and 2019. The sources of data for the indicators and the methods of analysis are explained in the updated *Bowel Cancer Quality Performance Indicator Descriptions* (2022) and the *Bowel Cancer Quality Performance Indicator Specifications* (2022), both of which are available on the Te Aho o Te Kahu website; however, in this section we outline key points and any additional methodology used.

The time period for this report (2017–2019) has been used because, at the time of doing the calculation, it was the most up to date and complete data on cancer registrations and mortality. It is our expectation that, as data completeness and quality improve as a result of projects being undertaken by Te Aho o Te Kahu, the Ministry of Health and other stakeholders, we will be able to report on more recent data in subsequent updates.

Most results reported in this report are descriptive. We report the results of categorical data as percentages. We typically group results by DHB of service (that is, where the service was located). We also present results by year of diagnosis, ethnic group (prioritised), sex, age group (years) and NZDep2013 quintile (based on the person's place of main residence, otherwise known as domicile, at the time of diagnosis).

### 3.1 Funnel plots

This report uses funnel plots to make comparisons between DHBs. These funnel plots are presented in a similar fashion to that used in the previous report. We plot the proportion for each DHB against the total number of patients. The average across all DHBs appears as an orange line. The funnel limits depend on the average proportion and the number of patients included in the estimate; proportion estimates have greater uncertainty when estimated from fewer patients. Results fall outside the inner limits if they are statistically different from the average at a 0.05 level and outside the outer limits if they are statistically significantly different from the average at a 0.002 level. The DHB regions in each funnel plot may represent the DHB of service or DHB of domicile, and this is indicated in the caption of each plot.

### 3.2 Change over time

In addition to funnel plots and tables presented in the previous report, we have included graphs to explore the differences in QPIs across the time periods at a national level.

Each graph has error bars that represent 95 percent confidence interval. A trend analysis using Poisson regression was performed in R to estimate average change per year (rate ratios) (Atkinson et al 2008). Where relevant (ie, where the results were found to be statistically significant), analysis is provided in the commentary. The model used robust standard errors to control for mild violation of the distribution assumption that the variance equals the mean (Cameron et al 2009). We also calculated 95 percent confidence intervals for all estimates.

Rate data was modelled by including the log (n) term with the coefficient of 1 (Atkinson et al 2008). This is called an offset. Offset in the case of a general linear model (GLM) in R can be achieved using the offset () function:

glm(y ~ offset(log(exposure)) + x, family=poisson(link=log))

### 3.3 Adjusted outcomes

We have made no risk adjustment to the data due to missing stage data and other risks, such as comorbidity. We encourage service providers to interpret their results in the context of the case mix of their unit. We have stratified data and present it in data tables. Stratifying variables include age group, sex, ethnic group (prioritised) and NZDep2013 quintile with data from the New Zealand Cancer Registry. Other variables (such as tumour, node, metastases (TNM) group stage and comorbidity) are not available in national collections but should be available for patients in local DHB records. We are reviewing the data analytic strategy for risk adjustment.



### 3.4 Additional comparisons

In addition to comparing these results with those of the previous QPI report, we have compared our results to two reports that have calculated and published similar bowel cancer indicators: the PIPER Project<sup>1</sup> and the 2019 National Bowel Cancer Audit (NBOCA) report. Note that differences in data collection or analysis methods may limit comparisons with these reports.

The PIPER Project looked at colorectal cancer survival according to rurality, ethnicity and socioeconomic deprivation (Sharples et al 2018). It was a national retrospective cohort study of all Aotearoa New Zealand residents diagnosed with colorectal adenocarcinoma in Aotearoa New Zealand from 1 January 2007 to 31 December 2008, with an extended cohort of Māori and Pacific peoples. The study identified potential cases from the New Zealand Cancer Registry (ICD-10-AM codes C18–C20). Researchers obtained data from patient clinical records and national databases of hospitalisations and mortality.

The NBOCA describes and compares the care and outcomes of patients diagnosed with bowel cancer in England and Wales. The Healthcare Quality Improvement Partnership (HQIP) commissions this audit. Our comparison looked at the NBOCA's 2019 annual report, which is the tenth such report. It includes data on over 30,000 patients diagnosed with bowel cancer between 1 April 2017 and 31 March 2018 (HQIP 2020).

### 3.5 Abdominoperineal resection quality performance indicator

We removed the stoma-free at 18 months quality performance indicator due to issues with the accuracy of data collection. We are unable to accurately capture people who have had stoma-forming operations and people who have had their stoma reversed. We have replaced this with an indicator measuring how many people who underwent rectal cancer surgery had an abdominoperineal resection (APR). We acknowledge that while there is better data accuracy for this indicator, it also has shortcomings as there are a variety of reasons for performing this procedure that are not captured in a single proportion. The description and technical specifications for this indicator are presented in Appendix A and have been included in the updated versions of these documents that can be found on the Te Aho o Te Kahu website.

The PIPER Project (Presentations, Investigations, Pathways, Evaluation, Rx [treatment] project) commenced in 2011 following a joint Ministry of Health and Health Research Council of New Zealand call for a project to examine bowel cancer from presentation through to management and including treatment outcomes in order to better understand the context of this cancer for Aotearoa New Zealand.



### 3.6 Definitions

### Bowel cancer

The term bowel cancer is synonymous with colorectal cancer for the purpose of this report. Bowel cancer excludes neuroendocrine tumours, gastrointestinal stromal tumours, lymphomas, squamous cell carcinomas and melanomas. This means that anal, appendiceal and small bowel cancers have been excluded.

### 3.7 Emergency surgery

In this report, we use the term emergency surgery to indicate surgery performed following an acute admission. We defined acute admission according to the Ministry's *Common Counting Standards 2013/14*, ie:

An unplanned admission on the day of presentation at the admitting healthcare facility. Admission may have been from the emergency or outpatient departments of the healthcare facility or a transfer from another facility (Common Counting Technical Advisory Group and Ministry of Health 2017).



### 4 QUALITY PERFORMANCE INDICATOR: CARE PATHWAY

### 4.1 Route to diagnosis

### Indicator description

Proportion of people with bowel cancer who are diagnosed following a referral to a clinic, screening or presentation to an emergency department (ED) (with or without surgery).

### The National Bowel Screening Programme

The Bowel Screening Pilot, for people aged 60–74 years, began in Waitematā DHB in January 2012. Following its completion, the Ministry of Health started rolling out the National Bowel Screening Programme progressively across DHBs.

Before the time period covered in this report, only Waitematā DHB had screening available as a pilot scheme, as discussed in the previous bowel cancer quality improvement report (Ministry of Health 2019b). During the time period covered by this report (2017–2019) the following DHBs introduced bowel screening: Hutt Valley and Wairarapa DHBs in 2017; Waitematā, Southern and Counties Manukau DHBs in early-mid 2018; Nelson Marlborough and Hawke's Bay DHBs in late 2018; Lakes DHB in early 2019 and Whanganui and MidCentral DHBs in late 2019. The introduction of bowel screening at these DHBs may have impacted their data; although, this effect is more likely to be seen in future updates of the bowel QPI calculations.

### Results

Between 2017 and 2019, most people (67.8 percent) were diagnosed following referral to a clinic (Table 1). This represented a reduction compared with the 2013–2016 time period (71.1 percent).

By comparison, 5.9 percent of people were diagnosed following a referral from screening services (compared with 2.7 percent in the previous period), and 26.3 percent of people were diagnosed following presentation at an ED (with the latter proportion unchanged from the previous time period).

Between 2017 and 2019, there was an increase in diagnosis following screening from 2.8 percent to 10.1 percent (Table 1 and Figure 1). This aligns with the rollout of the National Bowel Screening Programme and seems to coincide with a reduction in diagnosis following referral to clinic from 71.7 percent down to 63.7 percent (Table 1 and Figure 1). The diagnosis following ED presentations had minimal change over the 2017–2019 period (Table 1 and Figure 1).

Table 1: People diagnosed with bowel cancer following presentation to an emergency department, referral to a clinic or screening, by year of diagnosis (2017–2019), age group, sex, ethnicity and deprivation

	People diagnosed		D ntation	Referral to clinic		Screening	
	N	N	%	N	%	N	%
Total	8,690	2,282	26.3	5,891	67.8	517	5.9
Year of diagnosis							
2017	2,746	699	25.5	1,969	71.7	78	2.8
2018	2,933	796	27.1	2,003	68.3	134	4.6
2019	3,011	787	26.1	1,919	63.7	305	10.1
Age group							
18-49	685	224	32.7	461	67.3	0	0
50-59	1,090	273	25	779	71.5	38	3.5
60-74	3,363	700	20.8	2,222	66.1	441	13.1
75+	3,552	1,085	30.5	2,429	68.4	38	1.1
Sex							
Female	4,054	1,136	28	2,715	67	203	5
Male	4,636	1,146	24.7	3,176	68.5	314	6.8
Ethnicity							
Māori	594	218	36.7	346	58.2	30	5.1
Pacific peoples	214	95	44.4	101	47.2	18	8.4
Asian	403	110	27.3	238	59.1	55	13.6
European/Other	7,415	1,853	25	5,162	69.6	400	5.4
Unknown	64	6	9.4	44	68.8	14	21.9
NZDep2013 quintile <sup>2</sup>							
1	1,668	372	22.3	1,191	71.4	105	6.3
2	1,669	396	23.7	1,152	69	121	7.2
3	1,845	461	25	1,276	69.2	108	5.9
4	1,893	498	26.3	1,285	67.9	110	5.8
5	1,613	555	34.4	985	61.1	73	4.5

Missing and unknown categories are not included in this NZDep2013 category reporting and therefore the totals at the bottom of the table add up to 8,688, which does not match the total at the beginning of the table (8,690).



70 60 Bowel cancer diagnosed (%) Route to diagnosis **Emergency department** 40 Referral to clinic Screening 20 10 0 2015 2013 2014 2016 2017 2018 2019 Year

Figure 1: Proportion of people diagnosed with bowel cancer following presentation to an emergency department, referral to a clinic or screening, 2013–2019

Note: Error bars represent 95 percent confidence intervals.

Although small numbers, over the 2017–2019 period, diagnoses following screening for Māori appeared to increase at 5.1 percent compared with 1.7 percent for the previous time period (2013–2016). There was no decrease in diagnosis after ED presentations for Māori, rather the reduction appears to be in diagnosis following clinic visits (63 percent in the 2013–2016 period compared with 58.2 percent in the 2017–2019 period).

Similar to the previous report, people aged younger than 50 years or 75 years and older, women, Pacific peoples, Māori and those living in areas of high social deprivation were more likely to be diagnosed after presenting at an ED. The percentage of Pacific peoples diagnosed after presenting to an ED was higher than any other group at 44.4 percent (43.6 percent in the previous report, 2013–2016).

West Coast

Taranaki
Northland
Whanganul

Whanganul

MidCentral

Walkato

Hawkers Bay
Capital & Coast

Walkarapa

Nelson Mariborough

Nelson Mariborough

South Oanterbury

South Oanterbury

South Oanterbury

Northland

Walkarapa

Capital & Coast

Walkers Bay
South Oanterbury

South Oanterbury

Addktand

Canterbury

Addktand

Canterbury

South Oanterbury

Nelson Mariborough

Canterbury

Number of people diagnosed with bowel cancer

Figure 2: Proportion of people diagnosed with bowel cancer following presentation at an emergency department, by district health board of domicile, 2017–2019

For the proportion of bowel cancer diagnosed following an ED presentation over the 2017–2019 period, no DHBs achieved above the upper 99.8 percent limit, while three (Counties Manukau, Bay of Plenty and Auckland DHBs) achieved above the 95 percent limit. One DHB (Canterbury) achieved below the lower 99.8 percent limit (Figure 2).<sup>3</sup>

### Discussion

Proportions of Māori and Pacific peoples being diagnosed following an ED presentation remain high, as do those for people living in areas of high deprivation. Similar inequities were found in the PIPER Project (using data from 2007 to 2008). After controlling for demographic characteristics and disease variables such as stage and grade at diagnosis, Māori patients and those in the highest quintile of deprivation were still significantly more likely to present directly to ED (Sharples et al 2018). This indicator is therefore likely to reflect inequities in diagnostic pathways and access to care.

This indicator continues to provide an opportunity to monitor the consequences of the National Bowel Screening Programme (NBSP). Although calculated while the NBSP was in the process of being rolled out, it shows an increase in diagnoses following screening. In comparison, in the United Kingdom, around 10 percent of bowel cancer patients were diagnosed following referral from a screening service in 2017/18 (HQIP 2020), a similar figure to that seen for Aotearoa New Zealand in 2019 at the early stages of the NBSP rollout. While ED-related diagnoses do not appear to have reduced in response to the rollout, this may represent the programme being in the early stages.

<sup>4</sup> Bowel cancer screening has been fully in place in the United Kingdom since 2009, thus readers should use caution in comparing their rates with the early stages of Aotearoa New Zealand's rollout. This is because there can be higher rates of screening detected cancers in the early stages of a screening programme than in later years.



It is possible that Canterbury DHB's results for this QPI reflect data issues. Te Aho o Te Kahu will follow up with representatives from the DHB regarding this.

### Recommendations

DHBs should prioritise investigating this indicator. DHBs who are outliers should further investigate their data and address potential reasons for variance compared with other DHBs.

The recommended actions for this QPI from the November 2020 Bowel Cancer Quality Improvement Plan (Te Aho o Te Kahu 2020a) are listed below.

### Te Aho o Te Kahu

- Continue to review diagnosis of bowel cancer following ED presentation, including inequities in the proportion of patients presenting to ED, and report to DHBs two-yearly. Report and review more regularly for those DHBs whose results lie outside the 99.8 percent limit above the mean.
- 2. Develop educational resources for primary health care providers on symptoms and appropriate management of patients, including direct access to colonoscopy referral for patients with symptoms consistent with bowel cancer.
- 3. Consider work to improve public understanding of symptoms of bowel cancer, with a focus on groups disproportionately affected by ED diagnosis.
- 4. Establish a primary health care advisory group to Te Aho o Te Kahu to provide advice on the factors occurring at primary health care level that support or act as barriers to the early diagnosis of cancer, including bowel cancer. This might cover pre-diagnosis and referral measures, as well as post-diagnosis primary health care management and support.
- 5. Support the ongoing rollout of the National Bowel Cancer Screening Programme.

### District health boards

- Review referral pathways to identify unwarranted variation or delays in the referral process. This may include consideration of the processes for direct referral from primary health care and a review of patients who have been diagnosed following acute presentation to identify barriers that may have prevented earlier diagnosis.
- Establish robust 'Did Not Attend' processes that ensure patients are not removed from a waiting list until several attempts have been made to contact them, both in writing and by phone. Consider established outreach processes. No patient should be removed from a waiting list until a clinician has reviewed the referral and approved the removal and the DHB has formally notified the patient referrer that the patient could not be contacted. Encourage further attempts to contact the patient through primary health care.
- 3. Encourage and promote the use of educational resources to primary health care providers and priority populations.

## 5 QUALITY PERFORMANCE INDICATORS: SURGICAL CARE

### 5.1 90-day post-operative mortality

### Indicator description

Proportion of people with bowel cancer who died within 90 days of surgery (all surgery and elective surgery).

### Results

For people with bowel cancer, the overall 90-day mortality following major surgery was 3 percent (Table 2), a decrease from 4 percent in the 2013–2016 period.



Table 2: 90-day post-operative mortality for people undergoing major surgery for bowel cancer in public hospitals, 2017–2019

	Major bowel cancer surgery	Death within 90 days of surgery	
	N	N	%
Total	5,303	160	3
Year of diagnosis			
2017	1,721	57	3.3
2018	1,757	47	2.7
2019	1,825	56	3.1
Age group (years)			
18-49	393	9	2.3
50-59	596	12	2
60-74	2,185	31	1.4
75+	2,129	108	5.1
Sex			
Female	2,483	64	2.6
Male	2,820	96	3.4
Ethnicity			
Māori	365	17	4.7
Pacific peoples*	103	_	_
Asian*	240	_	_
European/Other	4,555	137	3
Unknown*	40	_	_
NZDep2013 quintile**			
1	921	14	1.5
2	992	16	1.6
3	1,140	39	3.4
4	1,209	48	4
5	1,039	42	4

<sup>\*</sup> Due to small numbers, some figures have not been included.

Figure 3 shows data for 90-day mortality following bowel cancer surgery for 2013 to 2019. It shows a reduction in mortality over time, with an annual decrease of 7.4 percent from 2013 until 2019.

<sup>\*\*</sup> Missing and unknown categories are not included in this NZDep2013 category reporting and therefore the totals at the bottom of the table do not match the total at the beginning of the table.

Figures 4 and 5 further explore the possible reduction in mortality for colon cancer. Overall, there has been an 8.4 percent annual decrease in mortality following colon cancer surgery (both elective and emergency) (Figure 4). The contribution of elective and emergency surgery to this overall decreased mortality is explored in Figure 5. There was an 11 percent annual reduction in post-operative mortality following elective surgery (Figure 5). The relatively small number of patients having emergency surgery each year make the annual mortality rates more variable and the trend to improvement less obvious.

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Figure 3: Proportion of people with bowel cancer who died within 90 days of elective or emergency surgery

Note: Error bars represent 95 percent confidence intervals.

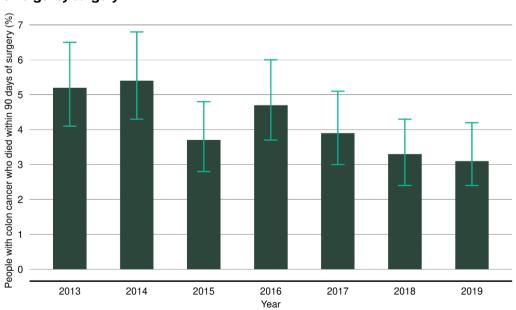


Figure 4: Proportion of people with colon cancer who died within 90 days of elective or emergency surgery

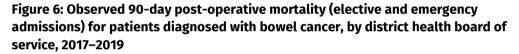
Note: Error bars represent 95 percent confidence intervals.

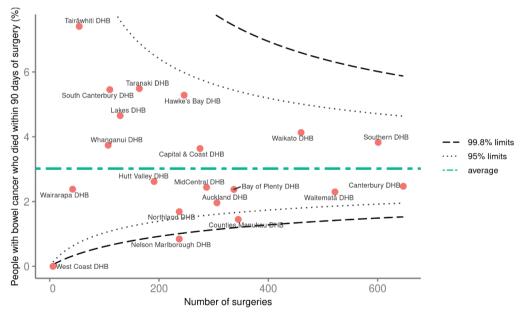


(%) Abbuns to with pairs of surgery acute elective

Figure 5: Proportion of people with colon cancer who died within 90 days of surgery, emergency (acute) compared with elective

Note: Error bars represent 95 percent confidence intervals.





Post-operative 90-day mortality varied markedly across the DHBs from 0 to 7.4 percent for elective and emergency admissions, with no DHBs above the 95 percent limit (Figure 6).

### Discussion

There is encouraging evidence presented in these results showing post-operative mortality has reduced over the 2013–2019 period for total bowel cancer surgery, total colon cancer surgery and elective colon cancer surgery. These results are now in line with the National Bowel Cancer Audit (NBOCA), which found the 90-day post-operative mortality rate in people undergoing major surgery was 3 percent for 2017–2018 (HQIP 2020).

There remains considerable variation between DHBs, partly driven by small numbers of events within DHBs, although no DHB mortality rates are above the 95 percent confidence limits (noting that this is not comparing against an ideal target). In addition, mortality rates appear higher for Māori and Pacific peoples compared with European/Other (noting that small numbers mean it is challenging to know if there is a true difference).

### Recommendations

The recommended actions for this QPI from the November 2020 Bowel Cancer Quality Improvement Plan (Te Aho o Te Kahu 2020a) are listed below.

### Te Aho o Te Kahu

- Continue to review 90-day mortality following bowel cancer surgery, including inequities in this outcome, and report to DHBs two-yearly. Report and review more regularly for those DHBs whose results lie outside the 99.8 percent limit below the mean.
- 2. Determine whether there is a need for a nationally consistent and validated preoperative risk score (such as the Physiological and Operative Severity Score for the Enumeration of Mortality and Morbidity (POSSUM) or NZ RISK score).
- Provide DHBs with advice to ensure pathways include robust preoperative
  assessment and physiological optimisation strategies (nutrition, cardiorespiratory
  fitness, etc).

### District health boards

- 1. Where a patient dies within 90 days of bowel cancer surgery, facilitate a proactive audit and feedback process to identify and address factors that may have been preventable.
- 2. Ensure that a robust preoperative assessment covers patients' nutritional, functional and comorbidity status, and provide support preoperatively to those who need it. Consider delaying definitive surgery while undertaking preoperative assessment and intervention where the clinical setting allows.



- 3. Use 'enhanced recovery after surgery' (ERAS) protocols (Ministry of Health 2015). This may include the use of specialist nurses to provide pre- and post-surgical support and education.
- 4. Consider surgical options including stenting and de-functioning colostomy for high-risk patients and/or those with advanced disease before embarking on major tumour resection.
- 5. Provide culturally appropriate preoperative support for Māori and Pacific patients and their whānau to avoid delays from missed appointments and to generally improve patient journeys and outcomes.

### **5.2** Emergency surgery

### Indicator description

Proportion of people with bowel cancer undergoing major resection who have emergency surgery.

### Results

From 2017 to 2019, of people with bowel cancer who had major surgical resection, 19.4 percent had their surgery performed as an emergency procedure (Table 3). This was similar to the proportion for the 2013–2016 time period (19.6 percent, see Figure 7).

Māori and Pacific peoples had higher proportions of emergency surgery compared with European/Other (Table 3). For Pacific peoples, the proportion was 28.2 percent compared with 20.4 percent for 2013–2016 and for Māori 24.9 percent compared with the previous period at 23.8 percent.

Table 3: People undergoing emergency surgery for bowel cancer in public hospitals, by year of diagnosis (2017–2019), age group, sex, ethnicity and deprivation

	Major bowel cancer surgery		rgency gery
	N	N	%
Total	5,303	1,031	19.4
Year of diagnosis			
2017	1,721	321	18.7
2018	1,757	361	20.5
2019	1,825	349	19.1
Age group (years)			
18-49	393	103	26.2
50-59	596	117	19.6
60-74	2,185	366	16.8
75+	2,129	445	20.9
Sex			
Female	2,483	547	22
Male	2,820	484	17.2
Ethnicity			
Māori	365	91	24.9
Pacific peoples	103	29	28.2
Asian	240	44	18.3
European/Other	4,555	862	18.9
Unknown	40	5	12.5
NZDep2013 quintile*			
1	921	181	19.7
2	992	176	17.7
3	1,140	217	19
4	1,209	244	20.2
5	1,039	213	20.5

<sup>\*</sup> Missing and unknown categories are not included in this NZDep2013 category reporting and therefore the totals at the bottom of the table do not match the total at the beginning of the table.



Year

Figure 7: Proportion of people with bowel cancer who had major resection performed as emergency surgery

Note: Error bars represent 95 percent confidence intervals.

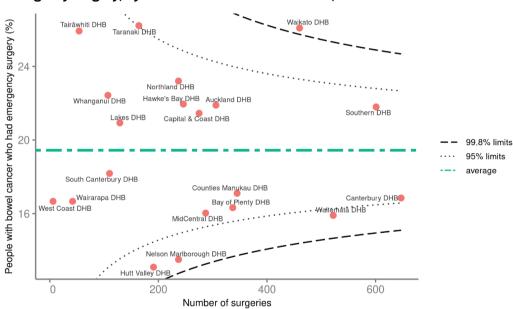


Figure 8: Proportion of patients diagnosed with bowel cancer who underwent emergency surgery, by district health board of service, 2017–2019

There were two DHBs above the 95 percent limits of the funnel plot and three below the 95 percent limits (Figure 8). There was considerable variation in rate of emergency surgery, from 13.1 percent to 26.2 percent.

### Discussion

The proportion of emergency surgery performed for bowel cancer in Aotearoa New Zealand is high and may contribute to poorer cancer outcomes.

The proportion is highest for Māori and Pacific peoples, contributing to inequity of outcome as well as likely reflecting inequities in other aspects of the patient pathway. This is particularly important to understand better, considering the higher mortality rate seen with emergency (acute) surgery. It is unclear why there appears to have been an increase in the proportion of emergency surgery for Pacific peoples. While there are small numbers of Pacific patients in this group, meaning it is difficult to know if there is a true difference, this possible trend is concerning and needs continued monitoring.

The proportion of emergency surgery is lowest in the 60–74-year age group. It is possible that this is related to the introduction of the NBSP. Further QPI monitoring will be an opportunity to continue observing these trends.

### Recommendations

DHBs should prioritise investigating this indicator further.

Consideration should be given to the link between emergency surgery rates and stage at diagnosis. We recommend that DHBs consider focusing on access to diagnosis (for example, primary health care access) and earlier diagnosis (for example, access to colonoscopy and symptom awareness).

Other activities to better understand and improve this indicator may include developing a clearer understanding of DHB data, considering and addressing inequities of access to care and any differences in onward referral.

There were no specific recommendations for the emergency surgery QPI in the November 2020 Bowel Cancer Quality Improvement Plan (Te Aho o Te Kahu 2020a).



### 5.3 Length of stay after surgery

### Indicator description

Median length of stay following surgery for bowel cancer.

### Results

The median length of stay in hospital for people with bowel cancer following major resection was seven days (Table 4). This represents no change from the previous QPI calculations, using data from the 2013–2016 period.

The median length of stay after surgery for bowel cancer ranged from five-and-a-half to eight days between DHBs. The median length of stay after surgery was six days for people with bowel cancer (compared with seven for the 2013–2016 period) and eight days for people with rectal cancer (no change). The length of stay in patients over the age of 60 years reduced by one day compared with the 2013–2016 period. The length of stay for male patient has also decreased by one day, from eight days to seven days.

Table 4: Length of stay after major surgery for bowel cancer, by year of diagnosis (2017–2019), age group, sex, ethnicity and deprivation

	Major bowel cancer surgery N	Median length of stay days
Total	5,303	7
Year of diagnosis		
2017	1,721	7
2018	1,757	7
2019	1,825	7
Age group (years)		
18-49	393	7
50-59	596	7
60-74	2,185	6
75+	2,129	7
Sex		
Female	2,483	7
Male	2,820	7
Ethnicity		
Māori	365	7
Pacific peoples	103	7
Asian	240	6
European/Other	4,555	7
Unknown	40	5.5
NZDep2013 quintile*		
1	921	6
2	992	7
3	1,140	7
4	1,209	7
5	1,039	7

<sup>\*</sup> Missing and unknown categories are not included in this NZDep2013 category reporting and therefore the totals at the bottom of the table do not match the total at the beginning of the table.



### Discussion

There has been some improvement in the length of stay for patients over the age of 60 years and men, with both of these groups being discharged, on average, one day earlier compared to the previous time period. This may have been influenced by the increasing use of enhanced recovery after surgery (ERAS) programmes and their refining over time. It may also have been influenced by increased use of laparoscopic surgery, and for the 60–74-year age group, the increased proportion of screening-related diagnoses, meaning surgery is being performed at an earlier stage.

The NBOCA found the median length of stay following major resection to be stable over time at seven days for the 2017–2018 period.

This indicator will continue to provide a useful monitor of overall post-operative care.

### Recommendations

Further improvements in length of stay could be expected with more universal use of ERAS programmes and greater proportions of laparoscopic surgeries.

### 5.4 Lymph node yield

### Indicator description

Proportion of people with colon cancer who undergo surgical resection where 12 or more lymph nodes are pathologically examined.

### Results

The percentage of people having surgery for colon cancer with 12 more lymph nodes examined increased from 81.5 percent in the 2013–2016 period to 84.4 percent in the current report period of 2017–2019 (Table 5). When examined using annual figures from 2013 to 2019, this represents a small but significant annual increase of 1.1 percent (Figure 9).

A lower proportion of Māori who have colon cancer surgery have 12 or more lymph nodes examined (79.8 percent) compared with European/Other (84.4 percent). However Pacific peoples and people of Asian ethnicity have higher rates than the average, at 88.6 percent and 89.2 percent respectively.

Among males, 83 percent had 12 or more lymph nodes examined compared with 85.7 percent of females. Younger age groups had higher proportion of 12 or more lymph nodes examined compared with the older age groups.

Table 5: Twelve or more lymph nodes pathologically examined for those undergoing surgical resection for colon cancer, by year of diagnosis (2017–2019), age group, sex, ethnicity and deprivation

	Major colon cancer surgery	12 or more l	ymph nodes nined
	N	N	%
Total	4,073	3,383	84.4
Year of diagnosis			
2017	1,325	1,063	81.8
2018	1,351	1,122	84.1
2019	1,397	1,198	87
Age group (years)			
18-49	262	220	87.6
50-59	393	322	85.2
60-74	1,592	1,336	84.9
75+	1,826	1,505	83.2
Sex			
Female	2,047	1,731	85.7
Male	2,026	1,652	83
Ethnicity			
Māori	259	202	79.8
Pacific peoples	72	62	88.6
Asian	176	157	89.2
European/Other	3,532	2,935	84.4
Unknown	34	27	79.4
NZDep2013 quintile*			
1	698	601	87.5
2	765	657	86.9
3	890	736	83.8
4	924	734	80.6
5	794	654	84.3

<sup>\*</sup> Missing and unknown categories are not included in this NZDep2013 category reporting and therefore the totals at the bottom of the table do not match the total at the beginning of the table.



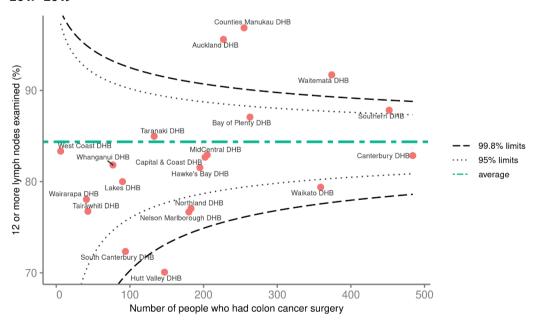
12 or more lymph nodes examined (%) 

Figure 9: Proportion of people with colon cancer who had surgery where 12 or more lymph nodes were pathologically examined

Note: Error bars represent 95 percent confidence intervals.

Figure 10 below shows the funnel plot representation of DHB variation for this indicator. Three DHBs were above the 99.8 percent limits (compared with six in the 2013–2016 period). Five DHBs were below the 95 percent confidence limits, compared with seven in the previous time period. This represents a decrease in outliers between the two time periods.

Figure 10: Proportion of people with colon cancer who had surgery where 12 or more lymph nodes were pathologically examined, by district health board of service, 2017–2019



# Discussion

The proportion of people with 12 or more lymph nodes pathologically examined appears to be continuing to increase, which is promising.

However, the disparity remains for Māori. The higher rates of emergency surgery for Māori may indirectly contribute to this result, however, further investigation at the DHB level is required. The lower lymph node yield for Māori may be partly explained by the finding that the DHBs with the greatest proportion of Māori in their populations (Northland, Tairāwhiti, Waikato and Lakes DHBs) all have lymph node yields well below the national average.

## Recommendations

The recommended actions for this QPI from the November 2020 Bowel Cancer Quality Improvement Plan (Te Aho o Te Kahu 2020a) are listed below.

### Te Aho o Te Kahu

- Continue to review lymph node harvest following bowel cancer surgery, including inequities in this outcome, and report to DHBs two-yearly. Report and review more regularly for those DHBs whose results lie outside the 99.8 percent limit below the mean.
- 2. Investigate implementing a structured pathology reporting project with key stakeholders, including the Royal College of Pathologists of Australasia (RCPA) and DHB chief operating officers (COOs) aiming to incorporate structured reporting as a requirement in laboratory contracts. This would include seeking agreement on which structured report should be used.
- 3. Work with stakeholders to develop a structured histology request form for bowel cancer specimens, ensuring that pathologists are fully aware of each patient's key surgical, anatomical, radiological and clinical staging details.
- 4. Ensure any process developed is mandated by International Accreditation New Zealand (IANZ) standards.

### District health boards

- Develop a quality improvement programme to improve the harvesting, examination and reporting of lymph node yields. This should involve discussions within multidisciplinary meetings and should incorporate an audit cycle to review and monitor progress.
- 2. Ensure that there is clear understanding among relevant staff (surgeons and pathologists) of the importance of a lymph node harvest of at least 12 nodes.



- 3. Implement a system whereby, if there are fewer than 12 lymph nodes identified in a surgical sample, a repeat review is requested. Pathology assistants may be able to assist with this.
- 4. Ensure that clinical staff and laboratory service providers support the implementation of the nationally agreed structured pathology reporting project and structured histology request form.

# 6 QUALITY PERFORMANCE INDICATORS: RECTAL CANCER

# 6.1 Rectal cancer treatment

# Indicator description

Proportion of people having major surgery<sup>5</sup> for rectal cancer who receive a) no radiotherapy (that is, surgery alone), b) pre-operative short-course radiotherapy (SCRT) or c) pre-operative long-course radiotherapy (LCRT).

# Results

The percentage of people with rectal cancer having no radiotherapy (45.8 percent, Table 6) is almost the same as that for the 2013–2016 period (44.2 percent).

A small percentage more people with rectal cancer received short-course radiotherapy (SCRT) (16.3 percent during the 2017–2019 period, an increase of 1.2 percent on that for the 2013–2016 period), and a similar percentage received long-course radiotherapy (LCRT) (36.2 percent) compared with the previous period.

Māori have considerably lower rates of surgery alone at 36.8 percent compared with European/Other at 46.5 percent.

<sup>&</sup>lt;sup>5</sup> Not all people with rectal cancer have surgery. Some people appear to have had a complete response to their scheduled pre-operative treatment, meaning that there is no detectable residual cancer. They are therefore managed with close observation and, although the number is small, the exact proportion of people with this scenario is unknown at present.



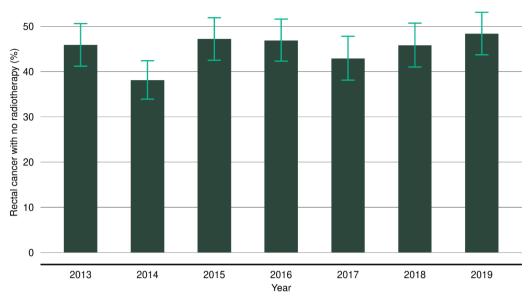
Table 6: People with rectal cancer having surgery alone and short-course and long-course preoperative radiotherapy, by year of diagnosis (2017–2019), age group, sex, ethnicity and deprivation

	Major surgery for rectal cancer	Long-course RT		Short-course RT		No radiotherapy (surgery alone)	
	N	N	%	N	%	N	%
Total	1,230	445	36.2	201	16.3	563	45.8
Year of diagnosis							
2017	396	155	39.1	62	15.7	170	42.9
2018	406	153	37.7	61	15	186	45.8
2019	428	137	32	78	18.2	207	48.4
Age group							
18-49	131	69	52.7	17	13	42	32.1
50-59	203	95	46.8	35	17.2	71	35
60-74	593	216	36.4	86	14.5	281	47.4
75+	303	65	21.5	63	20.8	169	55.8
Sex							
Female	436	158	36.2	73	16.7	201	46.1
Male	794	287	36.1	128	16.1	362	45.6
Ethnicity							
Māori	106	52	49.1	14	13.2	39	36.8
Pacific peoples*	31	18	58.1	_	_	12	38.7
Asian	64	23	35.9	10	15.6	31	48.4
European/Other	1,023	352	34.4	175	17.1	476	46.5
Unknown*	6	_	-	_	_	_	-
NZDep2013 quintile							
1	223	80	35.9	33	14.8	107	48
2	227	81	35.7	24	10.6	118	52
3	250	94	37.6	49	19.6	104	41.6
4	285	101	35.4	60	21.1	118	41.4
5	245	89	36.3	35	14.3	116	47.3

<sup>\*</sup> Due to small numbers, some figures have not been included.

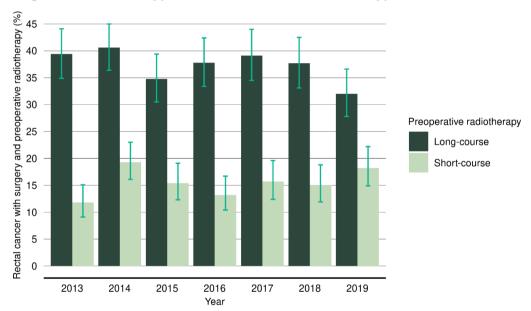
Figures 11 and 12 show change over time from 2013 to 2019. The proportion of people with rectal cancer who had surgery alone has not significantly changed over this period nor has the proportion of people who had SCRT. There has been an annual decrease of 2.3 percent for those receiving LCRT, although there has been some fluctuation over the period, meaning further years' results will be needed to examine the trend more accurately.

Figure 11: Proportion of people with rectal cancer who had no radiotherapy (surgery alone)



Note: Error bars represent 95 percent confidence intervals.

Figure 12: Proportion of people with rectal cancer who received surgery and either long-course radiotherapy (LCRT) or short-course radiotherapy (SCRT)



Note: Error bars represent 95 percent confidence intervals.



alone), by district health board of service for surgery, 2017-2019 75

Figure 13: Proportion of people with rectal cancer having no radiotherapy (surgery

People who had rectal cancer surgery alone (%) 99.8% limits 95% limits 50 50 100 150 Number of people who had rectal cancer surgery

For surgery alone (no publicly funded radiotherapy), three DHBs were below the 99.8 percent limits and one was above the 99.8 percent limits (Figure 13).

For SCRT and LCRT, there was wide variation across DHB regions (Figures 14 and 15). For SCRT, four DHBs were above the 95 percent confidence limits and three were below the 99.8 percent confidence limits. For LCRT, two DHBs were above the 95 percent limits and three were below the 95 percent limits.

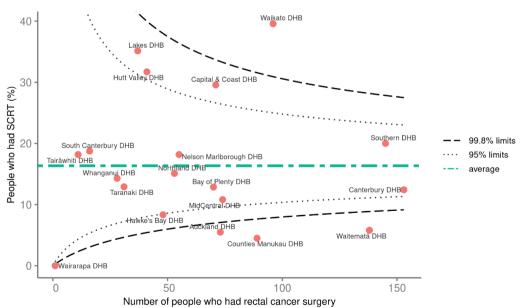


Figure 14: Proportion of people with rectal cancer having pre-operative short-course radiotherapy, by district health board of service for surgery, 2017-2019\*

West Coast DHB is missing from this plot because they do not provide this surgery - West Coast patients travel to Canterbury DHB.

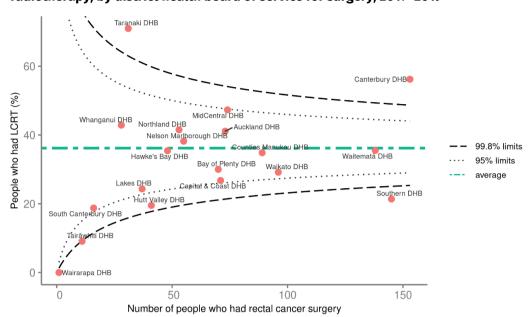


Figure 15: Proportion of people with rectal cancer having pre-operative long-course radiotherapy, by district health board of service for surgery, 2017–2019\*

\* West Coast DHB is missing from this plot because they do not provide this surgery – West Coast patients travel to Canterbury DHB.

# Discussion

There is no clear change in the results for this indicator between the two time periods (2013–2016 and 2017–2019), although there is a suggestion of decreasing use of LCRT over time. There is no clear reason for the wide variation seen between DHB regions.

In comparison, the PIPER Project found that 52 percent of patients with non-metastatic rectal cancer received radiotherapy (Sharples et al 2018), similar to the findings of this and the previous monitoring report. In the NBOCA 2017–2018 data, 36 percent of rectal cancer patients had pre-operative treatment, with 25.8 percent having LCRT and 6.8 percent having SCRT (HQIP 2020).

There is a general change in international practice for the use of radiotherapy in rectal cancer, including an increasing use of pre-operative and non-operative therapy. This makes interpretation of this indicator challenging; however, it will provide helpful comparisons over the next 5–10 years. As part of our consideration of this result we looked at more recent data for both short and long course RT from the radiation oncology collection (ROC). While we could not compare the same time period (ROC data is complete from 2020 onwards), it is worth noting that this data showed an increase in uptake on the SCRT overall in more recent years. However, we should not be looking at RT decisions in isolation; they can often be confounded by other treatment decisions, such as surgery and/or chemotherapy, and also treatment intent (ie: curative vs palliative). Te Aho o Te Kahu will continue to monitor RT services and provide more detailed analysis in future reports.



There is a persistently lower rate of management of rectal cancer by surgery alone for Māori, with reasons for this remaining unclear. The rate may be influenced by higher numbers of Māori with rectal cancer presenting with advanced disease and the wide variation in practice between DHBs combined with varying population make-up in DHB regions.

### Recommendations

The recommended actions for this QPI from the November 2020 Bowel Cancer Quality Improvement Plan (Te Aho o Te Kahu 2020a) are listed below.

### Te Aho o Te Kahu

- Continue to review radiotherapy use preceding rectal cancer surgery, including inequities in this outcome, and report to DHBs two-yearly. Report and review more regularly for those DHBs whose results lie outside the lower limit of the 99.8 percent confidence intervals for this indicator.
- 2. Work with the Radiation Oncology Working Group and NBCWG to develop standardised indications for long- and short-course radiotherapy.

### District health boards

1. Implement and monitor standardised indications for long- and short-course radiotherapy, once developed.

# 6.2 Abdominoperineal resection

# Indicator description

Proportion of people with rectal cancer who had major surgery and an abdominoperineal resection (APR).

# Results

For this reports period, 21.6 percent of people who underwent major surgery for rectal cancer had an APR procedure (Table 7). Māori had a higher proportion of APR procedures compared with other ethnicities (25.5 percent); however, numbers were small.

Table 7: People who underwent major surgical resection for rectal cancer who had an abdominoperineal resection, by year of diagnosis (2017–2019), age group, sex, ethnicity and deprivation

	Major surgery for rectal cancer	r Abdominoperinea resection	
	N	N	%
Total	1,230	266	21.6
Year of diagnosis			
2017	396	86	21.7
2018	406	93	22.9
2019	428	87	20.3
Age group (years)			
18-49	131	28	21.4
50-59	203	47	23.2
60-74	593	123	20.7
75+	303	68	22.4
Sex			
Female	436	98	22.5
Male	794	168	21.2
Ethnicity			
Māori	106	27	25.5
Pacific peoples*	31	-	_
Asian*	64	-	_
European/Other	1,023	224	21.9
Unknown*	6	_	_
NZDep2013 quintile			
1	223	38	17
2	227	55	24.2
3	250	46	18.4
4	285	72	25.3
5	245	55	22.4

<sup>\*</sup> Due to small numbers, some figures have not been included.

There was wide variation in the proportion of APR performed across DHBs (Figure 16). One DHB was below the 99.8 percent lower limit of the funnel plot, and no DHBs were above the 95 percent limit. DHBs with lower numbers of people who had rectal surgery appear to have higher rates of APR.



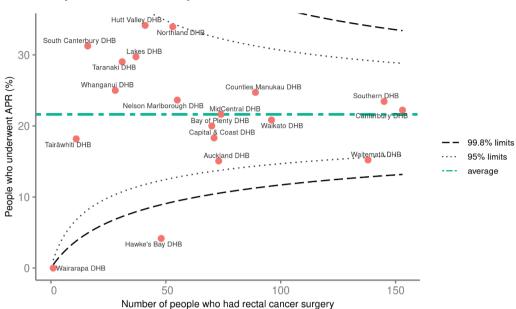


Figure 16: Proportion of people having rectal cancer surgery who underwent abdominoperineal resection by district health board of service, 2017–2019\*

\* West Coast DHB is missing from this plot because they do not provide this surgery – West Coast patients travel to Canterbury DHB.

# Discussion

A lower APR rate generally means a lower proportion of permanent stomas. However, there are numerous reasons why people may undergo an APR and a lower rate does not necessarily indicate a better quality of life. However, this measure is used internationally and can provide helpful comparison. The NBOCA 2019 reported an APR rate of 26.4 percent for the years 2014–2017 (HQIP 2020), which is higher than the finding of this report at 21.4 percent.

# Recommendations

Because this QPI was not included in the original 2019 monitoring report, there were no recommended actions for this QPI from the November 2020 *Bowel Cancer Quality Improvement Plan*. However, it is now recommended, as a result of the calculation of the APR QPI, that Te Aho o Te Kahu and DHBs should continue to aim for data quality improvement to enable calculation of stoma-free survival in future reports.

# **APPENDICES**

# Appendix A: Description and Technical Specification for abdominoperineal resection indicator

The sources of data for the indicators and the methods of analysis are explained in the updated *Bowel Cancer Quality Performance Indicator Descriptions* (2022) and the *Bowel Cancer Quality Performance Indicator Specifications* (2022), both of which are available on the Te Aho o Te Kahu website.

# BCQI 21\_a abdominoperineal resection

Indicator description		Proportion of people with rectal cancer who had major surgery and an abdominoperineal resection (APR).		
Rationale and evidence	APR is the removal of the sigmoid colon, the rectum and the anus, leaving a permanent stoma. For patients undergoing resection for rectal cancer, sphincter preserving surgery should be considered if appropriate, with reversal of defunctioning stoma within 18 months.			
	APR may have poorer outcomes compared with low anterior resection (Ptok et al 2007), and APR may decrease quality of life due to the formation of a permanent stoma. However, sphincter-preserving surgery for low rectal cancer can have complications and require a permanent stoma (Campos-Lobato et al 2011; Holmgren et al 2017) Therefore this quality performance indicator (QPI) should be considered in conjunction with other markers of quality.			
	While there is some evidence that this QPI roverall hospital performance (Jorgensen et between services (Morris E et al 2008) and, used internationally, it will allow for compa			
	Effective multidisciplinary team (MDT) planning and surgical techniques may lower the rate of permanent colostomy and ileostomy.			
Equity / Māori health gain	There is international evidence of inequities in the provision of sphincter sparing surgery for disadvantaged population groups, for example, African American patients in the United States (Arsoniadis et al 2018; Morris AM et al 2004).			
Specifications	Numerator	Number of people with rectal cancer who had an abdominoperineal resection.		
	Denominator	All patients who undergo major resection for rectal cancer.		
Data sources	New Zealand Cancer Registry, National Minimum Dataset			



# Sources of data

This document refers to the following national data sources.

- New Zealand Cancer Registry (NZCR): a population-based register of all primary malignant diseases diagnosed in Aotearoa New Zealand, excluding squamous and basal cell skin cancers
- National Minimum Dataset (NMDS):<sup>6</sup> a collection of public and private hospital discharge information, including coded clinical data for inpatients and day patients.

More information on these data sources can be found on the Ministry of Health's website: www.health.govt.nz.

# Measure items

Dataset	Data item	Description
NZCR	Site	Primary organ of the cancer's origin
NZCR	Sex	Sex of patient
NZCR	Age at diagnosis	Age of patient at diagnosis
NZCR	Morphology	Microscopic or cellular anatomy of the cancer
NZCR	Behaviour code	Neoplastic behaviour of the cancer
NZCR	Date of initial diagnosis	Date the patient was first diagnosed with bowel cancer
NZCR	Basis	Basis of diagnosis
NZCR	Multiple tumour flags	Patient diagnosed with more than one tumour
NZCR	Registration status code	Status of registration processing
NMDS	DHB name	DHB of service for the patient
NMDS	Procedure code	Procedure code
NMDS	Procedure date	Date of procedure

Hospital events in NMDS are coded using the International Statistical Classification of Diseases and Related Health Problems, Tenth Revision, Australian Modification (ICD-10-AM) for diagnoses and the Australian Classification of Health Interventions (ACHI) for procedures. Both ICD-10-AM and ACHI are from the Independent Hospital Pricing Authority (IHPA), Australia.



# Case eligibility criteria (denominator)

Diagram reference	Assessment	Item	Codes
1	First or only diagnosis of malignant neoplasm	Site	Date of first diagnosis with colorectal cancer
2	Primary site – rectum	Primary site	Rectum C20
3	Exclude manually censored case	Exclusion	Patients diagnosed following death certificate only (basis = 0)
			Patients domiciled outside Aotearoa New Zealand (DHB_code = 999)
			Patients with appendiceal carcinomas C18.1 site code
			Patients with neuroendocrine tumours (NETs), gastrointestinal stromal tumours (GISTs), lymphomas, squamous cell carcinomas, neuroendocrine carcinomas and melanomas
			Morphology codes 8240, 8249, 8246, 8070, 8720, 8013, 8041, 8244 and 8936
			Registration codes not R_C or R_R
			Non-incident cancer (exclude patients with multiple tumour flags = yes)
			Exclude patients who had their initial surgery 2 months before initial diagnosis or 6 months after their initial diagnosis
4	Male or female	Sex	M or F
5	Adult patient over the age of 17 at diagnosis	Age at diagnosis	18 years and over
6	Invasive tumours	Behaviour code	3
7	Diagnosis date	Date of initial diagnosis	2016–18
8	Surgical procedure	Surgical procedure of the primary site	Patients who undergo definitive surgery for rectal cancer (same procedures codes as bowel cancer) between 50 days before and 365 days after diagnosis. This excludes patients who undergo transanal endoscopic microsurgery, transanal resection of tumour or endoscopic resection of tumour (3210300, 3210500, 3210800)



# Numerator criteria

Diagram reference	Assessment	Item	Codes
9	Numerator: Number of patients with colorectal cancer who had an APR	APR	9 Includes people who had an APR (3203900) See ACHI procedure codes below

# Australian Classification of Health Interventions (8th edition) procedure codes

### Transanal resection codes

Code	Block short description	Clinical code description
3210300	Excision lesion or tissue of rectum or anus	Per anal excision of lesion or tissue of rectum via stereoscopic rectoscopy
3210500	Excision lesion or tissue of rectum or anus	Per anal full thickness excision of anorectal lesion or tissue
3210800	Excision lesion or tissue of rectum or anus	Transsphincteric excision of lesion or tissue of rectum

# Definitive surgery procedure codes for bowel cancer

Code	Block short description	Clinical code description
3200000	Colectomy	Limited excision of large intestine with formation of stoma
3200001	Colectomy	Right hemicolectomy with formation of stoma
3200300	Colectomy	Limited excision of large intestine with anastomosis
3200301	Colectomy	Right hemicolectomy with anastomosis
3200400	Colectomy	Subtotal colectomy with formation of stoma
3200401	Colectomy	Extended right hemicolectomy with formation of stoma
3200500	Colectomy	Subtotal colectomy with anastomosis
3200501	Colectomy	Extended right hemicolectomy with anastomosis
3200600	Colectomy	Left hemicolectomy with anastomosis
3200601	Colectomy	Left hemicolectomy with formation of stoma
3200900	Colectomy	Total colectomy with ileostomy
3201200	Colectomy	Total colectomy with ileorectal anastomosis
3201500	Total proctocolectomy	Total proctocolectomy with ileostomy
3202400	Anterior resection of rectum	High anterior resection of rectum
3202500	Anterior resection of rectum	Low anterior resection of rectum
3202600	Anterior resection of rectum	Ultra-low anterior resection of rectum
3202800	Anterior resection of rectum	Ultra-low anterior resection of rectum with hand sutured coloanal anastomosis
3203000	Rectosigmoidectomy or proctectomy	Rectosigmoidectomy with formation of stoma
3203900	Rectosigmoidectomy or proctectomy	Abdominoperineal proctectomy
3205100	Total proctocolectomy	Total proctocolectomy with ileo-anal anastomosis
3205101	Total proctocolectomy	Total proctocolectomy with ileo-anal anastomosis and formation of temporary ileostomy
3206000	Rectosigmoidectomy or proctectomy	Restorative proctectomy
3209900	Excision of lesion or tissue of rectum or anus	Per anal submucosal excision of lesion or tissue of rectum
3211200	Rectosigmoidectomy or proctectomy	Perineal rectosigmoidectomy
9220800	Anterior resection of rectum	Anterior resection of rectum, level unspecified



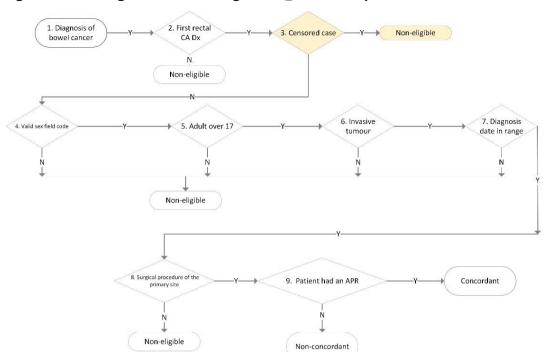


Figure A1: Flow diagram for calculating BCQI21\_a abdominoperineal resection

# **Appendix B: References**

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# Appendix C: Working group members

### The current NBCWG members are:

- Ian Bissett (chair), colorectal surgeon, Auckland District Health Board/The University of Auckland
- Anne Cleland, clinical nurse specialist, MidCentral District Health Board
- Ben Lawrence, medical oncologist, Auckland District Health Board
- David Vernon, general and colorectal surgeon, Lakes District Health Board
- · Denise Robbins, consumer representative
- Iain Ward, radiation oncologist, Canterbury District Health Board
- Janet Hayward, general practitioner, Nelson
- John McMenamin, general practitioner, Whanganui
- Justin Hegarty, radiologist, Pacific Radiology
- Marianne Lill, general surgeon, Whanganui DHB
- Masato Yozu, pathologist, Counties Manukau District Health Board
- Nina Scott (Ngāti Whatua), public health physician, Waikato District Health Board
- Ralph Van Dalen, general surgeon, Waikato District Health Board
- Siraj Rajaratnam, general and colorectal surgeon and endoscopist, Waitematā District Health Board
- Susan Parry, gastroenterologist, Auckland District Health Board and clinical lead, National Bowel Screening Programme, Ministry of Health
- Teresa Chalmers-Watson, gastroenterologist, Canterbury District Health Board.

### Others that contributed to this report are:

- Chris Harmston, general and colorectal surgeon, Northland District Health Board
- Sarah Derrett, consumer, Bowel Cancer New Zealand
- James Stanley, Biostatistician, Research Associate Professor, University of Otago, Wellington.

